

Docket No. 1363-006

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2004 JAN 10 PM 4:30

US PATENT & TRADEMARK  
OFFICE

In re application of

JOSEPH ANDREW MELLMER et al.

Serial No.: 09/670,783

: Group Art Unit: 2172

Filed: September 27, 2000

: Examiner: Woo, Isaac M.

For: MANAGING DIGITAL IDENTITY INFORMATION

LETTER

Mail Stop 16  
Attn: Freda Connelly  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Ms. Connelly:

The purpose of this letter is to kindly request a refund in the amount of \$790.00 and \$450.00. The USPTO inadvertently charged the RCE filing fee twice. Also, Applicant mistakenly paid for an additional 2-months Extension of Time filing fee. The RCE was first filed on August 18, 2005, along with a 1-month extension of time. We responded to a Notice of Non-Compliant Amendment (mailed September 2, 2005) on September 6, 2005 and our response was within the 1-month time frame to respond. The PTO on September 13, 2005

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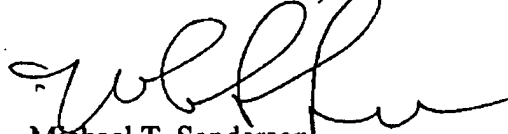
Ser. No. 09/670,783

then charged Deposit Account 11-0978 for \$450.00 (2-month Extension of Time) and \$790.00 (for a second charge of RCE) (see attached copy of our Deposit Account statement).

Thus, please refund \$450.00 and \$790.00 to Deposit Account No. 11-0978.

Respectfully submitted,

**KING & SCHICKLI, PLLC**



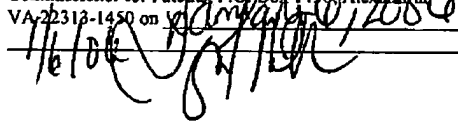
Michael T. Sanderson  
Registration No. 43,082

247 North Broadway  
Lexington, KY 40507

(859) 252-0889

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop 16, Attn: Freda Connelly, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 11/6/06



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09/12	303	11220944	380-278	1111	\$500.00	\$20,735.75
09/12	304	11220944	380-278	1311	\$200.00	\$20,535.75
09/12	305	11220944	380-278	1202	\$100.00	\$20,435.75
09/13	68	11220944	380-278	8021	\$40.00	\$20,395.75
09/13	94	09670783	1363-006	1801	\$790.00	\$19,605.75
09/13	95	09670783	1363-006	1252	\$450.00	\$19,155.75
09/13	1636	78711806	1323-002 T	7007	\$550.00	\$18,605.75
09/14	24	10770772	1101-006	2453	\$750.00	\$17,855.75
09/14	34	E-REPLENISHMENT		9203	-\$3,000.00	\$20,855.75
09/14	151	11223504	434-312	2011	\$150.00	\$20,705.75
09/14	152	11223504	434-312	2111	\$250.00	\$20,455.75
09/14	153	11223504	434-312	2311	\$100.00	\$20,355.75
09/14	157	29238088	1223-007 D	2012	\$100.00	\$20,255.75
09/14	158	29238088	1223-007 D	2112	\$50.00	\$20,205.75
09/14	159	29238088	1223-007 D	2312	\$65.00	\$20,140.75
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09/14	1346	78712572	039-205 T	7001	\$325.00	\$19,715.75
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09/15	1131	1982220	853-004 T	7201	\$400.00	\$19,035.75
09/16	118	11225430	1364-001	2011	\$150.00	\$18,885.75
09/16	119	11225430	1364-001	2111	\$250.00	\$18,635.75
09/16	120	11225430	1364-001	2311	\$100.00	\$18,535.75
09/19	71	11191899	1237-002C	2051	\$65.00	\$18,470.75
09/19	103	11192156	1237-002B	2051	\$65.00	\$18,405.75
09/19	104	11191326	1237-002 D	2051	\$65.00	\$18,340.75
09/19	105	11191345	1237-002A	2051	\$65.00	\$18,275.75
09/19	148	11209544	380-212A	8021	\$40.00	\$18,235.75
09/19	285	2314424	959A-007	7205	\$100.00	\$18,135.75
09/19	286	2314424	959A-007	7208	\$200.00	\$17,935.75
09/20	37	E-REPLENISHMENT		9203	-\$3,000.00	\$20,935.75
09/20	352	29211119	380-245D	1502	\$800.00	\$20,135.75
09/20	1539	78133717	207-015 T	7004	\$150.00	\$19,985.75
09/21	1	78332699	917-068 T	7004	-\$150.00	\$20,135.75
09/21	9	11167328	450-036	8021	\$40.00	\$20,095.75
09/21	14	29211121	380-245D	1502	\$800.00	\$19,295.75
09/21	45	11221152	434-312	1807	\$50.00	\$19,245.75
09/21	180	11229219	380-212B	1011	\$300.00	\$18,945.75
09/21	181	11229219	380-212B	1111	\$500.00	\$18,445.75
09/21	182	11229219	380-212B	1311	\$200.00	\$18,245.75
09/21	183	11229219	380-212B	1201	\$600.00	\$17,645.75
09/21	240	78382539	612-011	7004	\$150.00	\$17,495.75
09/21	280	11228750	2002-0440.04	1011	\$300.00	\$17,195.75

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MTS

09/12 1	11220478	1236-007	2011	\$150.00	\$17,301.82	
09/12 2	11220478	1236-007	2111	\$250.00	\$17,051.82	
09/12 3	11220478	1236-007	2311	\$100.00	\$16,951.82	9/1/05
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09/12 19	A0002524	1112-020 MADRID 7901		\$100.00	\$16,651.82	9/1/05
09/12 20	A0002524	1112-020 MADRID 7951		\$2,826.07	\$13,825.75	9/1/05
09/12 26	5567672	6A-019	2552	\$1,150.00	\$12,675.75	7/15/05
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09/12 151	11221152	434-312	2011	\$150.00	\$21,885.75	
09/12 152	11221152	434-312	2111	\$250.00	\$21,635.75	9/7/05
09/12 153	11221152	434-312	2311	\$100.00	\$21,535.75	
09/12 302	11220944	380-278	1011	\$300.00	\$21,235.75	
09/12 303	11220944	380-278	1111	\$500.00	\$20,735.75	5/17/05
09/12 304	11220944	380-278	1311	\$200.00	\$20,535.75	
09/12 305	11220944	380-278	1202	\$100.00	\$20,435.75	
09/13 68	11220944	380-278	8021	\$40.00	\$20,395.75	6/17/05
09/13 94	09670783	1363-006	1801	\$790.00	\$19,605.75	
09/13 95	09670783	1363-006	1252	\$450.00	\$19,155.75	
09/13 1636	78711806	1323-002 T	7007	\$550.00	\$18,605.75	9/13/05
09/14 24	10770772	1101-006	2453	\$750.00	\$17,855.75	9/1/05
09/14 34	E-REPLENISHMENT		9203	-\$3,000.00	\$20,855.75	
09/14 151	11223504	434-312	2011	\$150.00	\$20,705.75	9/9/05
09/14 152	11223504	434-312	2111	\$250.00	\$20,455.75	
09/14 153	11223504	434-312	2311	\$100.00	\$20,355.75	
09/14 157	29238088	1223-007 D	2012	\$100.00	\$20,255.75	9/9/05
09/14 158	29238088	1223-007 D	2112	\$50.00	\$20,205.75	
09/14 159	29238088	1223-007 D	2312	\$65.00	\$20,140.75	
09/14 291	60715923	ADD Personal	2005	\$100.00	\$20,040.75	
09/14 1346	78712572	039-205 T	7001	\$325.00	\$19,715.75	9/14/05
09/15 44	60716071	434-307P	2005	\$100.00	\$19,615.75	9/12/05
09/15 159	10539953	348-079	8021	\$40.00	\$19,575.75	6/17/05
09/15 254	11113643	380-239	8021	\$40.00	\$19,535.75	
09/15 1130	1982220	853-004 T	7205	\$100.00	\$19,435.75	9/15/05
09/15 1131	1982220	853-004 T	7201	\$400.00	\$19,035.75	
09/16 118	11225430	1364-001	2011	\$150.00	\$18,885.75	
09/16 119	11225430	1364-001	2111	\$250.00	\$18,635.75	9/15/05
09/16 120	11225430	1364-001	2311	\$100.00	\$18,535.75	
09/19 71	11191899	1237-002C	2051	\$65.00	\$18,470.75	9/14/05
09/19 103	11192156	1237-002B	2051	\$65.00	\$18,405.75	9/14/05
09/19 104	11191326	1237-002 D	2051	\$65.00	\$18,340.75	9/14/05
09/19 105	11191345	1237-002A	2051	\$65.00	\$18,275.75	9/14/05
09/19 148	11209544	380-212A	8021	\$40.00	\$18,235.75	
09/19 285	2314424	959A-007	7205	\$100.00	\$18,135.75	9/14/05
09/19 286	2314424	959A-007	7208	\$200.00	\$17,935.75	
09/20 37	E-REPLENISHMENT		9203	-\$3,000.00	\$20,935.75	
09/20 352	29211119	380-245D	1502	\$800.00	\$20,135.75	8/15/05
09/20 1539	78133717	207-015 T	7004	\$150.00	\$19,985.75	9/10/05

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PTO/SB/30 (09-03)

Approved for use through 07/31/2008. OMB 0651-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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**Request  
for  
Continued Examination (RCE)  
Transmittal**

Address to:  
Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Application Number	09/670,783
Filing Date	09/27/2000
First Named Inventor	JOSEPH A. MELLMER
Art Unit	2172
Examiner Name	WOO, ISAAC M.
Attorney Docket Number	1363-006

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

- a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
- i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- ii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/ Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other \_\_\_\_\_

2. **Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b. ☐ Other \_\_\_\_\_

3. **Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

- The Director is hereby authorized to charge the following fees, or credit any overpayments, to
- a. ☒ Deposit Account No. 11-0978

- i. ☐ RCE fee required under 37 CFR 1.17(e)
- ii. ☒ Extension of time fee (37 CFR 1.136 and 1.17)
- iii. ☒ Other RCE 37 CFR 1.17 (e) previously paid for on 08-18-05

- b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed

- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print/Type)	MICHAEL T. SANDERSON	Registration No. (Attorney/Agent)	43,082
Signature		Date	9-6-05

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type)	CAROLINA PERDOMO	Date	SEPT. 6, 2005
Signature			

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

09/13/2005 YPOLITE1 00000059 110978 09670783

01 FC:1801 790.00 DA

Adjustment date: 01/24/2006 EEKUBAY1  
09/13/2005 YPOLITE1 00000059 110978 09670783  
01 FC:1801 790.00 CR

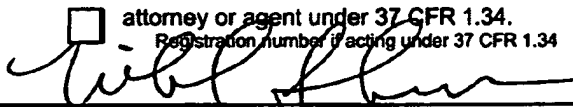


PTO/SB/22 (12-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)</b> <b>FY 2005</b> <i>(Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).)</i>		Docket Number (Optional) <b>1363-006</b>	
Application Number <b>09/670,783</b>		Filed <b>09/27/2000</b>	
For Managing Digital Identity Information			
Art Unit <b>2172</b>		Examiner <b>Woo, Isaac M.</b>	
This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.			
The requested extension and fee are as follows (check time period desired and enter the appropriate fee below):			
	<u>Fee</u>	<u>Small Entity Fee</u>	
<input type="checkbox"/> One month (37 CFR 1.17(a)(1))	\$120	\$60	\$ _____
<input checked="" type="checkbox"/> Two months (37 CFR 1.17(a)(2))	\$450	\$225	\$ <u>450.00</u>
<input type="checkbox"/> Three months (37 CFR 1.17(a)(3))	\$1020	\$510	\$ _____
<input type="checkbox"/> Four months (37 CFR 1.17(a)(4))	\$1590	\$795	\$ _____
<input type="checkbox"/> Five months (37 CFR 1.17(a)(5))	\$2160	\$1080	\$ _____
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.			
<input type="checkbox"/> A check in the amount of the fee is enclosed.			
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.			
<input checked="" type="checkbox"/> The Director has already been authorized to charge fees in this application to a Deposit Account.			
<input checked="" type="checkbox"/> The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number <u>11-0978</u> I have enclosed a duplicate copy of this sheet.			
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.			
I am the <input type="checkbox"/> applicant/inventor.			
<input type="checkbox"/> assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed (Form PTO/SB/96).			
<input checked="" type="checkbox"/> attorney or agent of record. Registration Number <u>43,082</u>			
<input type="checkbox"/> attorney or agent under 37 CFR 1.34. Registration number if acting under 37 CFR 1.34 _____			
 Signature		<u>9-6-05</u> Date	
<u>Michael T. Sanderson, Esq.</u> Typed or printed name		<u>859.252.0889</u> Telephone Number	
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.			
<input type="checkbox"/> Total of _____ forms are submitted.			

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

19/13/2005 YPOLITE1 00000059 110978 09670783  
32 FC:1252 450.00 DA

Adjustment date: 01/24/2006 FEKUBAY1  
09/13/2005 YPOLITE1 00000059 110978 09670783  
02 FC:1252 450.00 CR